Docket No. 132401-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

)

Group Art Unit: 1621

Examiner: Michael L. Shippen

licant:

Hugo G.E. Ingelbrecht

Serial No.:

10/604,311

Filing Date:

July 10, 2003

For:

ALKYLATION CATALYST AND METHOD FOR)

MAKING ALKYLATED PHENOLS

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

07/28/2005 HMARZII 00000038 10604311

01 FC:1806

180.00 OP

CANTOR COLBURN LLP

By:

Registration No. 48,137

Date:

July 22, 2005

Customer No.: 23413

Telephone:

(860) 286-2929

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Jnder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known					
Application Number	10/604,311				
Filing Date	7/10/2003				
First Named Inventor	Hugo G.E. Ingelbrecht				
Art Unit	1621				
Examiner Name	Michael L. Shippen				
Attorney Docket Number	132401-1				

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials	Cite No.1	Document Number 2 Number Kind Code (ff known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 20030073572 A1	04-17-2003	Parrillo et al.	
	2	US- 20030125586 A1	07-03-2003	Sankarasubbier et al.	
	.3	US- 3,306,874	02-28-1967	Hay, Allan S.	
	4	US- 3,306,875	02-28-1967	Hay, Allan S.	
	5	US- 3,962,181	06-08-1976	Sakauchi et al.	
	6	US- 4,083,828	04-11-1978	Olander	
	7	US- 4,361,709	11-30-1982	Kawamata et al.	
	8	US- 4,469,908	09-04-1984	Burress	
	9	US- 4,822,836	04-18-1989	Wroczynski	
	10	US- 4,874,810	10-17-1989	Lee, Jr. et al.	
	11	US- 5,017,655	05-21-1991	Kase et al.	
	12	US- 5,017,656	05-21-1991	Ворр	
	13	US- 5,128,304	07-07-1992	Ito	
	14	US- 5,434,326	07-18-1995	Gajda et al.	
	15	US- 5,563,106	10-08-1996	Binner et al.	
	16	US- 5,795,559	08-18-1998	Pinnavaia et al.	
	17	US- 5,840,271	11-24-1998	Carrazza et al.	
	18	US- 5,874,374	02-23-1999	Ong	
	19	US- 5,902,429	05-11-1999	Apte et al.	
	20	US- 5,985,944	11-16-1999	Ishizaki et al.	

				FOI	REIGN PATENT DO	CUMENTS				
Examiner Initials	Cite No.1	Foreign Patent Document Office ³ Number ⁴ Kind ⁵ (if known)		Office ³ Number ⁴ Kind ⁵ MM-DD-YYYY Applica				Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	21	EP	438329	A1	07-24-1991	Doussain et al.				
	22	EP	0785180	A2	07-23-1997	Warner et al.				
	23	wo	0138223	Al	05-31-2001	Kaliaguine et al.				
		<u> </u>						_		
<u> </u>								—		
		ļ						┼		
<u> </u>		-			<u> </u>		 	+		
		 						+		

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Sheet

JUL 2 7 2005

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2 of 3

Complete if Known					
10/604,311					
7/10/2003					
Hugo G.E. Ingelbrecht					
1621					
Michael L. Shippen					
132401-1					

				U.S. PAT	ENT DOCUMENTS	
Examiner Initials	Cite No.1		d Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	24	US- 5,998,317		12-07-1999	Sterzel	
	25	US- 6,024,899		02-15-2000	Peng et al.	
	26	US- 6,042,763		03-28-2000	Kumaoka	
	27	US- 6,153,547		11-28-2000	Sterzel	
	28	US- 6,187,981	Bl	02-13-2001	Marinangeli et al.	
	29	US- 6,218,335	Bl	04-17-2001	Okada et al.	
	30	US- 6,340,648	Bi	01-22-2002	Imura et al.	
	31	US- 6,379,640	Bi	04-30-2002	VerNooy	
	32	US- 6,395,674	Bi	05-28-2002	Fung et al.	
	33	US- 6,420,292	Bi	07-16-2002	Kumaoka	
	34	US- 6,429,168	Bi	08-06-2002	VerNooy	
	35	US- 6,436,861	B1	08-20-2002	Suzuki et al.	-
	36	US- 6,448,458	В1	09-10-2002	Marinangeli et al.	
	37	US- 6,455,748	B2	09-24-2002	Janssen et al.	
	38	US- 6,503,863	B2	01-07-2003	Fung et al.	
	39	US- 6,512,029	B1	01-28-2003	Gugumus	
	40	US- 6,541,407	B2	04-01-2003	Beall et al.	
	41	US- 6,541,415	B2	04-01-2003	Vaughn et al.	
	42	US- 6,620,751	Bi	09-16-2003	Ogunwumi	
		US-	Ī			

				FOR	EIGN PATENT DOC	CUMENTS		
Examiner	Cite	F	oreign Patent Do	cument	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials	No.1	Office ³	Number ⁴	Kind⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	
				ĺ				

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known						
Application Number	10/604,311					
Filing Date	7/10/2003					
First Named Inventor	Hugo G.E. Ingelbrecht					
Art Unit	1621					
Examiner Name	Michael L. Shippen					
Attorney Docket Number	132401-1					

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials*	Cite ·No.1	Document Number Number Kind Code (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	43	US- 6,620,908 B2	09-16-2003	Watson et al.	
	44	US- 6,642,164 B2	11-04-2003	Akaishi	
	45	US- 6,649,802 B1	11-18-2003	Frame et al.	
	46	US- 6,657,022 B2	12-02-2003	Williams et al.	
	47	US- 6,667,261 B1	12-23-2003	Anshits et al.	
	48	US- 6,667,274 B1	12-23-2003	Hawley et al.	
	49	US- 6,743,747 B1	06-01-2004	Xu et al.	
		US-			
		US-	,	·	
		US-			

	FOREIGN PATENT DOCUMENTS										
Examiner (Cite	F	Foreign Patent Do	cument	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant				
Initials	No.1	Office ³	Number ⁴	Kind⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear				
			•								
							-				
	•										
			-								

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

CERTIFICATE OF Applicant(s): HUGO	Docket No. 132401-1						
Application No.	Filing Date 07/10/2003	Examiner M. L. SHIPPEN	Customer No. 23413	Group Art Unit 1621			
Invention: ALKYLS	TION CATALYST AND	METHOD FOR MAKING ALKYLAT	ED PHENOLS				
The same of the sa							
I hereby certify that	this <u>Transmittal of IDS</u>	5 (1p), IDS 1449 (3ps), cited references (1 (Identify type of correspondence)	3)				
		ostal Service with sufficient postage as O. Box 1450, Alexandria, VA 22313-1 — ·					
	Candace H. Buswell (Typed or Printed Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence)						
Note: Each paper must have its own certificate of mailing.							
,							

TRA	NSMITTAL	Docket No. 132401-1								
In Re Application Of HUGO G.E. INGELBRECHT										
- 8	cation No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.				
10/6	604,311	07/10/2003	M. L. SHIPPEN	23413	1621	1310				
Title: ALKYLATION CATALYST AND METHOD FOR MAKING ALKYLATED PHENOLS										
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450										
			37 CFR 1.97(b)							
1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.										
 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: 										
the statement specified in 37 CFR 1.97(e);										
OR .										
☑ the fee set forth in 37 CFR 1.17(p).										
:										

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 132401-1 **HUGO G.E. INGELBRECHT** In Re Application of: Examiner Customer No. Group Art Unit Confirmation No. Filing Date Application No. 23413 1621 1310 10/604,311 07/10/2003 M. L. SHIPPEN Title: ALKYLATION CATALYST AND METHOD FOR MAKING ALKYLATED PHENOLS Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) is attached. A check in the amount of ☐ The Director is hereby authorized to charge and credit Deposit Account No. as described below. Charge the amount of Credit any overpayment. Charge any additional fee required. ☑ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Mailing by First Class Mail Certificate of Transmission by Facsimile* I hereby certify that this correspondence is being deposited I certify that this document and authorization to charge deposit with the United States Postal Service with sufficient postage account is being facsimile transmitted to the United States as first class mail in an envelope addressed to Patent and Trademark Office (Fa "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on July 22, 2005 (Date) (Date) dace H. Briswell Signature of Person Mailing Correspondence Signature Candace H. Buswell Typed or Printed Name of Person Mailing Certificate Typed or Printed Name of Person Signing Certificate *This certificate may only be used if paying by deposit account. Dated: July 22, 2005 Signature Patricia S. DeSimone Registration No. 48,137 Cantor Colburn LLP 55 Griffin Road South Bloomfield, CT 06002 Telephone: (860) 286-2929 Facsimile: (860) 286-0115 CC: